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December 4, 2003

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Date

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TRANSMITTAL FORM

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Total Number of Pages in This Submission 8 + 1 reference

Application Number 09/824,280

Filing Date April 2, 2001

First Named Inventor Kenichiro Yamauchi et al.

Art Unit 2615 DFC 0 9 2003

Examiner Name James A. Fletcher

Attorney Docket No. MTS-3246US

	ENCLOSURES (Check all that apply)	
Fee Transmittal Form Fee Attached	Drawing(s)	After Allowance Communication to Group
Amendment/Reply	Licensing-related Papers Petition	Appeal Communication to Board of Appeals and Interferences
After Final Affidavits/Declaration(s)	Petition to Convert to a Provisional Application	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
Extension of Time Request	Power of Attorney, Revocation, Change of Correspondence Address	Proprietary Information
Express Abandonment Request	Terminal Disclaimer	Status Letter
Information Disclosure Statement	Request for Refund	Other Enclosure(s) (please identify below):
Certified Copy of Priority Document(s)	CD, Number of CD(s)	return postcard PTO Form 1449 1 reference
Response to Missing Parts/ Incomplete Application	ľ	RECEIVED
Response to Missing Parts under	Remarks:	1 0 0000
37 CFR 1.52 or 1.53		DEC 1 0 2003
		TC 2600
SIGNATUR	E OF APPLICANT, ATTORNEY OR AG	4:
Firm or Individual Allan Ratner	Registration No. (Attorney/Ag	gent) 19,717
Signature	>	
Date December 4,200		
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I hereby certify that this correspondence is being facsimile	e transmitted to the USPTO or deposited with the Ur	nited States Postal Service with sufficient

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Fran Petrillo

VA 22313-1450 on this date:

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Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No:

09/824,280

Applicant:

Kenichiro Yamauchi et al.

Filed:

April 2, 2001

Title:

TRANSFER RATE CONTROLLER, DECODING SYSTEM, MEDIUM,

AND INFORMATION AGGREGATE

RECEIVED

TC/A.U.:

2615

Examiner:

James A. Fletcher

Confirmation:

9237

Docket No.:

MTS-3246US

DEC 0 9 2003

Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

JP 10-285196 1)

MTS-3246US PATENT

Reference 1) includes an English language abstract and a partial English language translation.

Reference 1) was cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese Office Action is submitted herewith. MPEP 609 IIIA(3), second paragraph.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b). A statement, however, is provided herewith:

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfyll submitted,

Allan Ratner, Reg No. 19,717 Attorney for Applicants

AR/fp

Enclosures:

PTO Form 1449

(#1) Reference Transmittal Form

Dated:

December 4, 2003

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

December 4, 2003